

Finance Committee Agenda - 25 May 2022



Meeting conducted in the Council Chamber at Lvl 2, Philip Laing House
144 Rattray St, Dunedin (Councillors and participating staff only)

Members of the public may view via livestream at: [Otago Regional Council YouTube Channel](#)

Members:

Cr Hilary Calvert, Co-Chair	Cr Michael Laws
Cr Kevin Malcolm, Co-Chair	Cr Andrew Noone
Cr Michael Deaker	Cr Gretchen Robertson
Cr Alexa Forbes	Cr Bryan Scott
Cr Carmen Hope	Cr Kate Wilson
Cr Gary Kelliher	

Senior Officer: Nick Donnelly, acting Chief Executive

Meeting Support: Liz Spector, Governance Support Officer

25 May 2022 10:00 AM

Agenda Topic	Page
1. APOLOGIES	
Cr Carmen Hope has submitted an apology for this meeting.	
2. PUBLIC FORUM	
Requests to speak should be made to the Governance Team on 0800 474 082 or governance@orc.govt.nz at least 24 hours prior to the meeting; however, this requirement may be waived by the Chairperson.	
2.1 Dunedin City Council Submission on Draft ORC 2022/23 Annual Plan - Dunedin Mayor Aaron Hawkins	
2.2 Federated Farmers Submission on Draft ORC 2022/23 Annual Plan - Simon Davies (former Otago Provincial President), Eleanor Linscott (South Island Policy Manager)	
2.3 Queenstown Lakes District Council Submission on Draft ORC 2022/23 Annual Plan - QLDC Chief Executive Mike Theelen	
3. CONFIRMATION OF AGENDA	
Note: Any additions must be approved by resolution with an explanation as to why they cannot be delayed until a future meeting.	
4. CONFLICT OF INTEREST	
Members are reminded of the need to stand aside from decision-making when a conflict arises between their role as an elected representative and any private or other external interest they might have.	
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Minutes of previous Finance Committee meetings will be considered as a true and accurate record with or without corrections.	
5.1 Minutes of the 23/24 February 2022 Finance Committee meeting	3

6.	OPEN ACTIONS FROM RESOLUTIONS OF THE FINANCE COMMITTEE	10
	The Committee will review open actions of resolutions of the Finance Committee.	
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	This report provides the Activity and Financial Performance reports for the nine-month period ended 31 March 2022.	
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9.	RESOLUTION TO EXCLUDE THE PUBLIC	48
	The Committee may resolve to move into public excluded session under LGOIMA (1987) to consider the following items:	
	* Minutes of the public excluded portion of the 24 February 2022 Finance Committee Meeting	
	* Recommendations of the public-excluded 24 February 2022 Audit and Risk Subcommittee	
	* Recommendations of the public-excluded 12 May 2022 Audit and Risk Subcommittee	
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10.	CLOSURE	



Minutes of a meeting of the
Finance Committee held in the
Council Chamber on Wednesday 23 February 2022,
commencing at 10:00 AM

Membership

Cr Hilary Calvert	<i>(Co-Chairperson)</i>
Cr Kevin Malcolm	<i>(Co-Chairperson)</i>
Cr Michael Deaker	
Cr Alexa Forbes	
Cr Carmen Hope	
Cr Gary Kelliher	
Cr Michael Laws	
Cr Andrew Noone	
Cr Gretchen Robertson	
Cr Bryan Scott	
Cr Kate Wilson	

Welcome

Chairperson Malcolm welcomed Councillors and staff to the meeting at 10:06 am. Staff present in the Chamber included Amanda Vercoe (GM Governance, Culture and Customer), Richard Saunders (GM Regulatory and Communications) and Liz Spector (Governance Support Officer). Staff present electronically included Sarah Gardner (Chief Executive), Nick Donnelly (GM Corporate Services), Gwyneth Elsum (GM Strategy, Policy and Science), Gavin Palmer (GM Operations), Jasmin Lamorie, Sarah Munro, and Mike Roesler.

1. APOLOGIES

Resolution: Cr Hope moved, Cr Calvert seconded

That the lateness of Cr Laws be accepted.

MOTION CARRIED

Councillors present in the Chamber were Cr Calvert, Cr Hope, Cr Laws, Cr Malcolm, Cr Noone and Cr Wilson. Present electronically were Cr Deaker, Cr Forbes, Cr Kelliher, Cr Robertson and Cr Scott.

2. PUBLIC FORUM

There was no request to address the Committee under Public Forum.

3. CONFIRMATION OF AGENDA

Resolution: Cr Malcolm moved, Cr Noone seconded

That the agenda be confirmed as published.

MOTION CARRIED

4. CONFLICT OF INTEREST

No conflicts of interest were advised at this time.

5. CONFIRMATION OF MINUTES

Resolution: Cr Calvert Moved, Cr Hope Seconded

That the minutes of the Finance Committee meeting held on 24 November 2021 be received and confirmed as a true and accurate record.

MOTION CARRIED

6. PRESENTATIONS

Port Otago Chief Executive Kevin Winders and CFO Stephen Connolly, and Board Chair Paul Rea and Audit Committee Chair Pat Heslin presented the Port Otago Half-Year Report electronically. Following the presentation, questions from Councillors were responded to. Chair Malcolm thanked the team for attending the meeting and moved:

Resolution FIN22-101: Cr Malcolm Moved, Cr Hope Seconded

That the Committee requests the Chair to send a letter of appreciation to the Te Raone Beach team from the Councillors.

MOTION CARRIED

7. ACTIONS

Open actions from resolutions of the Committee were reviewed.

8. MATTERS FOR CONSIDERATION

8.1. Quarterly Activity and Finance Report - 31 December 2021

The report was provided to present the Activity and Financial Performance Reports for the six-month period ended 31 December 2021. Nick Donnelly (GM Corporate Services), Jasmine Lamorie (Corporate Planning Business Partner) and Sarah Munro (Manager Finance - Reporting) were present electronically to speak to the report and respond to questions.

Ms Munro opened discussion on the paper. She noted the change to the format of the report to combine financial and activity reporting into a single report rather than two separate reports. Ms Lamorie summarised the activity performance report, noting the commentary in the report was exceptions based.

Following discussion of the Activity Report, Ms Munro reviewed the quarterly financial reports. Cr Calvert asked if staff could provide more detail about cost recovery of consents and if there was the ability to include a breakdown of what comprised consent costs, including training. GM Regulatory Richard Saunders said while he was comfortable with the amount of detail provided in the report and that consents processing is set up to correctly capture costs, he would provide more detail in future reports.

Following further discussion, Cr Calvert moved receipt of the report.

Resolution FIN22-102: Cr Calvert Moved, Cr Hope Seconded

That the Finance Committee:

- 1) **Notes** this paper and the Activity and Financial Performance Reports for the period 1 July 2021 to 31 December 2021 (quarter 2 of the 2021-22 financial year).

MOTION CARRIED

8.2. Draft Annual Plan 2022-23 and Engagement Approach

This report was provided to enable Council to achieve a draft position for adjustments to the financial forecasts contained in the Otago Regional Council Long-Term Plan 2021-31 (LTP). Nick Donnelly (GM Corporate Services) and Mike Roesler (Manager Corporate Planning) were available electronically to speak to the report and respond to questions.

Mr Roesler summarised the report with Councillors and noted staff had made changes to the draft budgets provided to them in December 2021 to bring costs in line with an 18% increase for the next year. He also reviewed options for community engagement on the proposed budget and work programme.

Following Councillor questions and discussion of engagement options, Cr Wilson moved:

Resolution FIN22-103: Cr Wilson Moved, Cr Noone Seconded

That the Finance Committee:

- 1) **Notes** the position reached by Council at the 9 December 2021 meeting on proposed adjustments to the Long-Term Plan 2021-31 as presented in Background section of this report.
- 2) **Notes** the post 9 December 2021 proposed adjustments to the Long-Term Plan 2021-31 as presented in Discussion section of this report.
- 3) **Notes** the funding impacts of the post 9 December 2021 adjustments as summarised in this report.

- 4) **Approves** the proposed draft financial estimates and that supports the Long-term Plan year 2 work programme as a basis for engaging with the community about any further refinement that may be required to the Long-term Plan 2021-31.
- 5) **Approves** the proposed adjustments to Council's Fees and Charges.
- 6) **Agrees** the post 9 December 2021 adjustments as summarised in this report do not represent significant or material changes to the Long-term Plan 2021-31.
- 7) **Approves** Option 1 being 'targeted community engagement' as the approach Council staff will implement for engagement on the Proposed Annual Plan for 2022/23.
- 8) **Directs** staff to prepare the supporting information for community engagement and for Council's endorsement at its 23 March 2022 meeting.

MOTION CARRIED

8.3. Taieri Flood and Drainage Rates

This report was provided to update the Committee following a meeting of a working group to consider ways to pilot a review of the Taieri flood and drainage rates in accordance with Resolution CM21-217 made on 9 December 2021 at a meeting of Council. Nick Donnelly (GM Corporate Services) was available electronically to speak to the report and respond to questions.

Following discussion of the report,

Resolution FIN22-104: Cr Wilson Moved, Cr Calvert Seconded

That the Finance Committee:

- 1) **Notes** staff are preparing further information on benefits, exacerbators, affordability/fairness and simplicity/logic and request that this information be provided three weeks prior to the next Finance meeting in order that the working group can provide a report to the next Finance Committee meeting as to possible next steps.

MOTION CARRIED

Cr Laws joined the meeting at 12:50 pm.

Due to timing of the next meeting, at 12:50PM Chairperson Malcolm suggested the meeting be adjourned until the close of the previously scheduled 1PM Council Meeting.

Resolution: Cr Noone Moved, Cr Hope Seconded

That the Finance Committee be adjourned until after the end of the 1PM Council Meeting.

MOTION CARRIED

Chairperson Malcolm reopened the Finance Committee meeting at 6:55PM after the close of the previously scheduled Council Meeting. Chair Malcolm asked that due to the late hour, a motion be made to adjourn the meeting to 9AM the following morning, Thursday, 24 February 2022.

Resolution: Cr Calvert Moved, Cr Hope Seconded

That the meeting be adjourned to 9AM, Thursday, 24 February 2022.

MOTION CARRIED

Chairperson Malcolm reopened the Finance Committee meeting at 9:02AM, 24 February 2022. He noted Cr Laws was an apology for lateness.

Resolution: Cr Calvert Moved, Cr Wilson Seconded

That the apology for lateness for Cr Laws be accepted.

MOTION CARRIED

Cr Deaker, Cr Forbes, Cr Kelliher, Cr Robertson, and Cr Scott were present electronically.

8.4. Enviroschools Programme Future Options

The report provided Council with options for potential expansion of the Enviroschools programme within Otago to be considered as part of the 2022/23 Annual Plan process in accordance with Resolution GCE21-103 adopted on 11 August 2021 in a meeting of the Governance, Communications and Engagement Committee. Richard Saunders (GM Regulatory and Communications) and Robyn Zink (Regional Coordinator Enviroschools) were present electronically to speak to the report and respond to questions.

Richard Saunders addressed the meeting. Mr Saunders noted if Councillors resolved to expand the programme beyond its current scope, the existing Coordinator would not have capacity to manage the additional work. Cr Wilson asked whether consideration of the programme's expansion could be discussed by the Otago Mayoral Forum. Cr Noone agreed with that suggestion, and he also asked if there were opportunities for other funding for the programme. Dr Zink said other funding had been explored with little success, and she indicated fundings of that type tended to be short-term partnerships, but the Enviroschools programme relied on long-term relationships. Cr Calvert said it would be important to ensure the programme is equitable and available to any school wanting to participate.

Following further discussion, Cr Deaker moved:

Resolution FIN22-105: Cr Deaker Moved, Cr Hope Seconded

That the Finance Committee:

- 1) **Notes** this report.
- 2) **Considers** the options presented for inclusion in the 2023/24 Annual Plan.
- 3) **Requests** discussion of expansion of the Enviroschools Programme be presented to the Otago Mayoral Forum by the ORC Chair for consideration at the 1 April 2022 meeting.

MOTION CARRIED

Cr Laws joined the meeting at 9:40am.

9. RESOLUTION TO EXCLUDE THE PUBLIC

Resolution: Cr Calvert Moved, Cr Wilson Seconded

That the public be excluded from the following parts of the proceedings of this meeting under LGOIMA 48(1)(a), namely:

- *Minutes of the 24 November 2021 Finance Committee meeting*

- LGFA Lending

MOTION CARRIED

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under [section 48\(1\)](#) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
<p><i>Minutes of the Public-excluded portion of the 24 November 2021 Finance Committee meeting</i></p>	<p>To protect information where the making available of the information— would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information – Section 7(2)(b)(ii)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely to prejudice the supply of similar information, or information from the same source, and it is in the public interest that such information should continue to be supplied – Section 7(2)(c)(i)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely otherwise to damage the public interest – Section 7(2)(c)(ii)</p> <p>To avoid prejudice to measures that prevent or mitigate material loss to members of the public – Section 7(2)(e)</p> <p>To maintain legal professional privilege – Section 7(2)(g)</p> <p>To enable any local authority holding the information to carry out, without prejudice or disadvantage, commercial activities – Section 7(2)(h)</p> <p>To enable any local authority holding</p>	

	<p>the information to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations) – Section 7(2)(i)</p> <p>To prevent the disclosure or use of official information for improper gain or improper advantage – Section 7(2)(j)</p>	
<i>LGFA Lending</i>	<p>Section 7(2)(h) - To enable any local authority holding the information to carry out, without prejudice or disadvantage, commercial activities;</p> <p>Section 7(2)(i) - To enable any local authority holding the information to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations).</p>	<p>Section 48(1)(a): Subject to subsection (3), a local authority may by resolution exclude the public from the whole or any part of the proceedings of any meeting only on 1 or more of the following grounds:</p> <p>(a) that the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>

This resolution was made in reliance on [section 48\(1\)\(a\)](#) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by [section 6](#) or [section 7](#) of that Act or [section 6](#) or [section 7](#) or [section 9](#) of the Official Information Act 1982, as the case may require, which would be prejudiced by the holding of the whole or the relevant part of the proceedings of the meeting in public.

10. CLOSURE

There was no further public business and Chairperson Malcolm declared the meeting closed at 10:20AM, 24 February 2022.

Chairperson

Date

OPEN ACTIONS FROM RESOLUTIONS OF THE FINANCE COMMITTEE (PUBLIC) AT 25 MAY 2022

Meeting Date	Item	Status	Action Required	Assignee/s	Action Taken	Due Date
25/08/2021	PPT2113 Outcome of Interim \$2 Dunedin Fare	Completed	Write to the Dunedin City Council and Waka Kotahi to invite them to participate in an independently facilitated (likely public) workshop to consider: a. A joint objective of public transport in Dunedin, which levers that we and others can use to promote those objectives, e.g., parking options and costs; b. Timing of review of fares, with consideration of routes, usage and flexibility of bus services and patronage; c. Coordination of building of planned complementary infrastructure; and, d. Coordination of travel demand management across large city businesses. Res FIN21-120	Chairperson	17/11/2021 Governance Support Officer Discussions are ongoing between the Chair and GMs of Corporate Services and Operations to progress this action. 09/02/2022 Personal Assistant to CE & Chairperson DCC Councillors have agreed to meet with ORC Councillors to discuss PT issues and this item will be discussed when a meeting occurs. 29/03/2022 Personal Assistant to CE & Chairperson Meeting held with DCC Councillors 24/3/22.	30/11/2021
25/08/2021	PPT2113 Outcome of Interim \$2 Dunedin Fare	Completed	Have discussions with DCC and WK in time to include potential financial outcomes in Annual Planning processes prior to end of October. Resolution FIN21-120	Chairperson	17/11/2021 Governance Support Officer Discussions are ongoing between Chair and GMs of Corporate Services and Operations to progress this action. 09/02/2022 Personal Assistant to CE & Chairperson DCC Councillors have agreed to meet with ORC Councillors to discuss PT issues and this item will be discussed when a meeting occurs. 29/03/2022 Personal Assistant to CE & Chairperson Meeting held with DCC 24/3/2022.	30/11/2021

Finance Committee Agenda - 25 May 2022 - OPEN ACTIONS FROM RESOLUTIONS OF THE FINANCE COMMITTEE

Meeting Date	Item	Status	Action Required	Assignee/s	Action Taken	Due Date
23/02/2022	CS2209 Draft Annual Plan 2022-23 and Engagement Approach	Completed	Prepare supporting information for community engagement and provide for Council's endorsement to its 23 March 2022 meeting. FIN22-103	General Manager Corporate Services and CFO, Manager Corporate Planning	18/05/2022 Governance Support Officer The requested information was included on the 23 March 2022 Council Meeting agenda.	23/03/2022
23/02/2022	COMS2201 EnviroSchools Programme Future Options	Completed	Allow consideration of options presented for the future of EnviroSchools for inclusion in the 2023/24 Annual Plan. FIN22-105	General Manager Corporate Services and CFO, General Manager Regulatory and Communications	07/03/2022 General Manager Regulatory and Communications Paper on EnviroSchools expansion options presented to Council. Resolved the Chair Noone was to take this matter to the Mayoral forum for discussion	31/03/2023
26/05/2021	CS2126 Long Term Plan 2021-2031 Deliberation and Recommendations	Assigned	Provide a paper discussing re-establishment of the Otago Regional Council Environmental Awards. Res FIN21-111	General Manager Regulatory and Communications		31/12/2021
25/08/2021	PPT2113 Outcome of Interim \$2 Dunedin Fare	Assigned	Invite a standing group of ORC and DCC councillors and officers to enhance and guide Dunedin public transport. Res FIN21-120	Chairperson	09/02/2022 Personal Assistant to CE & Chairperson DCC Councillors have agreed to meet with ORC Councillors to discuss PT issues and this item will be discussed when a meeting occurs. 29/03/2022 Personal Assistant to CE & Chairperson Meeting held with DCC 24/03/2022.	12/08/2021
23/02/2022	COMS2201 EnviroSchools Programme Future Options	Assigned	The Chair to present a discussion of expansion of the EnviroSchools Programme for consideration at the 1 April 2022 Otago Mayoral Forum meeting. FIN22-105	Chairperson	29/03/2022 Personal Assistant to CE & Chairperson To be actioned.	01/04/2022

Finance Committee Agenda - 25 May 2022 - OPEN ACTIONS FROM RESOLUTIONS OF THE FINANCE COMMITTEE

Meeting Date	Item	Status	Action Required	Assignee/s	Action Taken	Due Date
26/05/2021	CS2126 Long Term Plan 2021-2031 Deliberation and Recommendations	In Progress	Consider the potential of realtime data and citizen science input when upgrading or investing in assets. If this requires extra funding, those purchases should be brought back to Council for consideration. Res FIN21-109	General Manager Policy and Science, General Manager Strategy, Policy and Science, Manager Science	09/12/2021 General Manager Strategy, Policy and Science On track.	30/06/2022
23/02/2022	CS2210 Taieri Flood and Drainage Rates	In Progress	Provide further information on benefits, exacerbators, affordability/fairness and simplicity/logic and provide this information three weeks prior to the next Finance Committee meeting to allow the working group to provide a report to the next Finance Committee meeting as to next steps. FIN22-104	General Manager Corporate Services and CFO	18/05/2022 General Manager Corporate Services and CFO Working Group met on 5 May 2022.	25/05/2022

7.1. Quarterly Report - 31 March 2022

Prepared for:	Finance Committee
Report No.	CS2229
Activity:	Governance Report
Author:	Sarah Munro, Finance Manager – Reporting Jasmin Lamorie, Corporate Planning Business Partner
Endorsed by:	Nick Donnelly, General Manager Corporate Services
Date:	25 May 2022

PURPOSE

- [1] To present the Council’s Activity and Financial Performance Reports for the nine-month period ended 31 March 2022.

RECOMMENDATION

That the Finance Committee:

- 1) Notes** this paper and the attached Activity and Financial Performance Reports for the period 1 July 2021 to 31 March 2022 (quarter 3 of the 2021-22 financial year).

BACKGROUND

- [2] This report includes financial and non-financial performance information relating to the major aspects of service delivery as outlined in the Long-term Plan 2021-31. Progress over the 2021-22 financial year is reported to the Finance Committee on a quarterly basis.
- [3] The following Activity and Finance Reports are attached:
- Activity Performance Report
 - High-level overview of service performance against LTP measures and targets by activity.
 - High-level overview of financial performance by activity.
 - Report is exceptions based and commentary provides explanations for performance that is not on track.
 - Activity Financial Summary
 - Includes variance analysis and forecast
 - Statement of Comprehensive Revenue and Expenses
 - Statement of Financial Position
 - Treasury Report
 - Detailed Activity Financial Report

CONSIDERATIONS

Strategic Framework and Policy Considerations

- [4] Not applicable.
-

Financial Considerations

[5] Not applicable.

Significance and Engagement Considerations

[6] Not applicable.

Legislative and Risk Considerations

[7] Not applicable.

Climate Change Considerations

[8] Not applicable.

Communications Considerations

[9] Not applicable.

ATTACHMENTS

1. Activity Performance Report Q3 March 2022 [7.1.1 - 14 pages]
2. Activity Finance Report Summary March 2022 [7.1.2 - 8 pages]
3. Statement of Comprehensive revenue and expenses March 2022 [7.1.3 - 4 pages]
4. Statement of Financial Position March 2022 [7.1.4 - 2 pages]
5. Treasury Report March 2022 [7.1.5 - 1 page]
6. Activities Report March 2022 [7.1.6 - 2 pages]

Activity Performance Report

QUARTER THREE – MARCH 2022



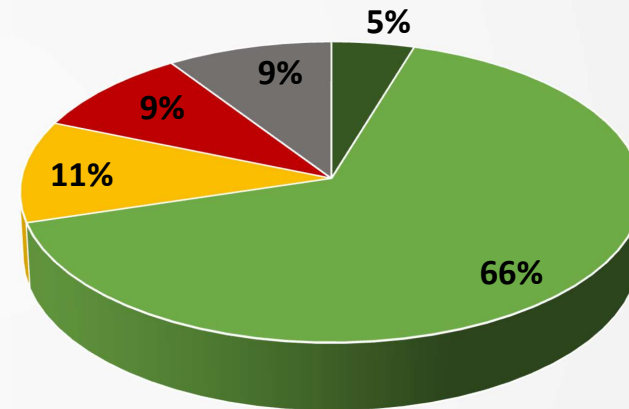
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Service Delivery Performance

The 2021-31 Long-term Plan contains 36 Level of Service statements, 64 measures and 72 targets; of these **64 targets relate to activities being delivered in the 2021-22 year.**

Quarter three results:

- 3** have been achieved
- 42** are on track
- 7** are at risk of not being achieved by year-end
- 6** will not be achieved
- 6** are not able to be reported against at this stage



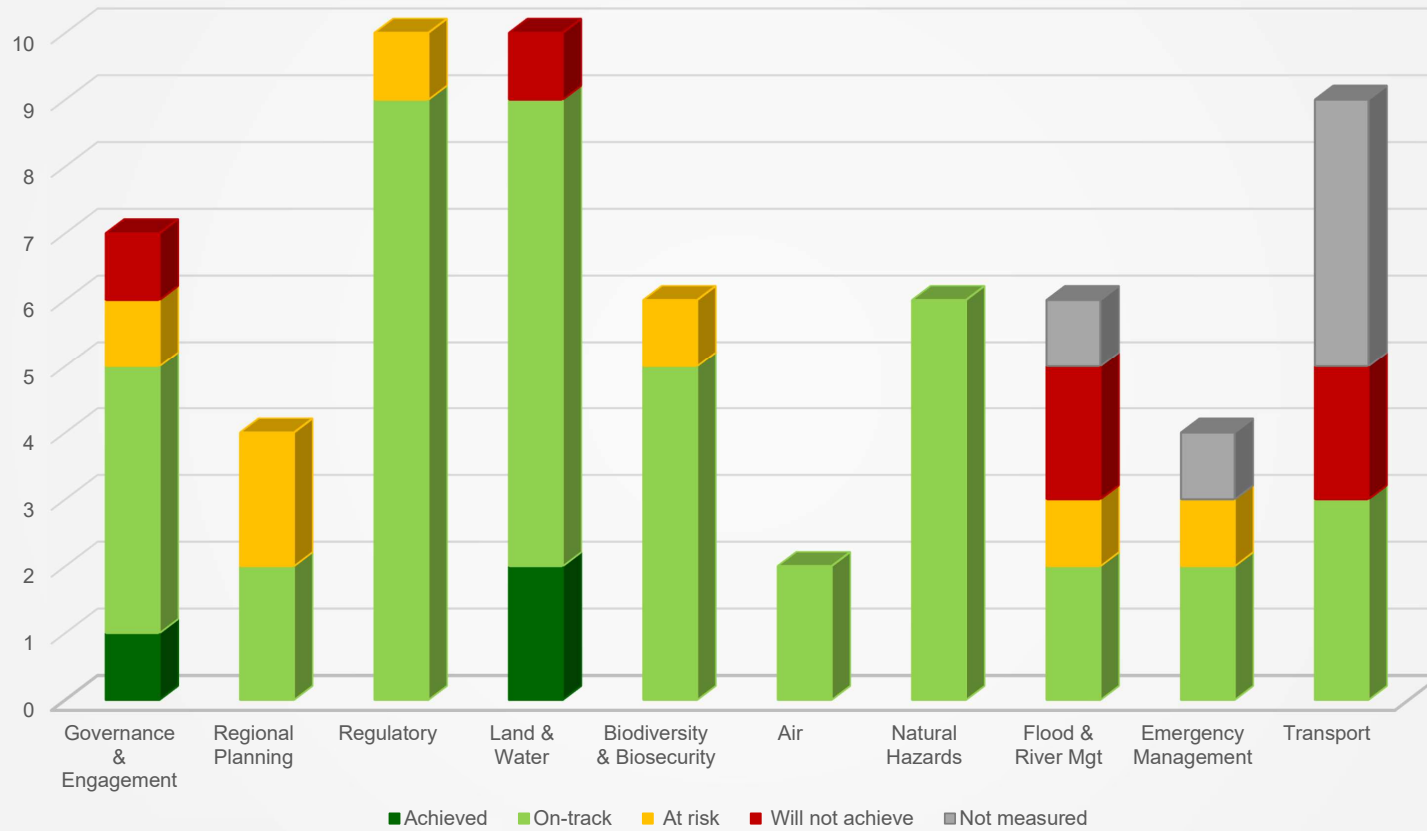
A full list of measures, targets and the results for each quarter is included at the end of this report.



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QUARTER THREE – March 2022

Quarter Three Results by Activity



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QUARTER THREE – March 2022

Service Delivery Highlights

Quarter three:

- ★ Land & Water Regional Plan stage one consultation completed for all FMU and rohe; approach revised in response to science modelling needs.
- ★ Compliance Plan target for audits and inspections targets have been exceeded.
- ★ More formal enforcement actions have been issued as a result of increased inspections/audits, plus improved processes and decision making.
- ★ Rabbit inspections have exceeded target; with 695 inspections undertaken YTD.
- ★ Climate Resilience projects progressing: Riverbank Rd completion report filed in January; Robson's Lagoon major instream structures completed ahead of schedule.
- ★ The High Court appeal on consent duration for water takes by CDC found in ORC's favour and supported our approach to these types of applications.
- ★ Annual report on the Freshwater SoE network completed and presented to council Data and Information Committee in March.
- ★ Agreement finalised for on-lending to Port Otago through LGFA.
- ★ Staff have continued to deliver and adapt to the challenges of the Omicron outbreak in the region.



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QUARTER THREE – March 2022

Service Delivery Challenges & Risks

Quarter three challenges:

- ❑ Covid impacts have been felt across the organisation in multiple ways:
 - ❑ reduced contractor availability,
 - ❑ restricted training opportunities,
 - ❑ disruptions to planned public engagement,
 - ❑ lower public transport patronage,
 - ❑ staff illness.
- ❑ Staff vacancies and recruitment challenges across a number of Council areas; in some instances this has resulted in use of consultants or programme delays (local government sector has a 20% vacancy rate at present).
- ❑ Contractor availability including lower response to Requests for Tender, particularly with technical works.






Potential future risks:

- ❑ RPS: Hearings have been delayed, High Court decision is pending. These may impact timeframes and delivery of RPS and impact on other planning workloads.
- ❑ Contractor availability and performance: Heavy reliance on contractors to deliver within timeframes, across a range of Council activities.
- ❑ Staff recruitment, retention and resilience.

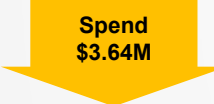



Performance Summary by Activity Group

Key:

Service Delivery Results:

	achieved	The number in each 'traffic-light' shows the results for the LTP service measure and targets for each activity this quarter. Commentary is exceptions based and provides detail regarding targets that are at risk, will not be achieved or where performance has not been able to be measured.
	on track	
	at risk/not on track	
	will not achieve	
	not measured	

Financial Performance:

- 
 - The numbers in the arrows show the **actual** expenditure or revenue for the year to date (includes operational and capital).
- Colours indicate the **percentage variance** against budget:
 -  Favourable less than 5%
 -  Unfavourable 5-10%
 -  Unfavourable over 10%
- Direction of arrows show whether there is a **deficit** (down) or **surplus** (up) against budget. (square if the variance is less than 1%)
- The example above shows that the actual expenditure for the year to date is \$3.64M and that this is an underspend of between 5 -10% against what was budgeted for the activity.



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QUARTER THREE – March 2022

Performance Summary by Activity Group

Regional Leadership	Service Delivery Results	Financial Performance	
Governance & Engagement	<ul style="list-style-type: none"> 1 - One LGOIMA response was outside of timeframe; 98.9% compliant (100% target not met). 4 - Customer service survey delayed due to staff vacancies. 1 1 	<div style="border: 1px solid black; padding: 5px; background-color: #28a745; color: white; text-align: center;"> Revenue \$4.40M </div>	<div style="border: 1px solid black; padding: 5px; background-color: #28a745; color: white; text-align: center;"> Spend \$4.48M </div> <p>Expenditure \$0.2M over, largely due to unbudgeted costs associated with the Clutha inquiry.</p>
Regional Planning	<ul style="list-style-type: none"> 2 - Urban work programme staff departure may impacting progress 2 - Development of community wellbeing indicators is delayed but underway. 	<div style="border: 1px solid black; padding: 5px; background-color: #28a745; color: white; text-align: center;"> Revenue \$2.79M </div>	<div style="border: 1px solid black; padding: 5px; background-color: #ffc107; color: white; text-align: center;"> Spend \$2.33M </div> <p>Expenditure \$0.2M under, largely due to RPS hearing delays, partly offset by overspend in Response to Issues work.</p>
Regulatory	<ul style="list-style-type: none"> 9 - Number of oil spill responders is below target due to timing of Maritime NZ training. 1 	<div style="border: 1px solid black; padding: 5px; background-color: #dc3545; color: white; text-align: center;"> Revenue \$7.91M </div>	<div style="border: 1px solid black; padding: 5px; background-color: #28a745; color: white; text-align: center;"> Spend \$9.28M </div> <p>Revenue \$1.1M under; Chargeable time under due to training, permitted activity monitoring and increased community engagement. Revenue from enforcement activities is under despite increased work in this area.</p>



QUARTER THREE – March 2022

Performance Summary by Activity Group



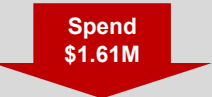





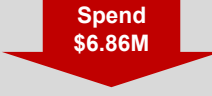



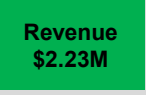
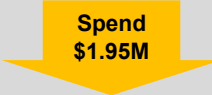
Environment and	Service Delivery Results	Financial Performance
Land & Water	<p>2 - Water monitoring network report presented to DAIC and site specific plans confirmed – targets achieved.</p> <p>7 - LWRP report on 3 FMU will not be completed by 30 June as science modelling and consultation approaches have changed.</p> <p>1</p>	<p>Revenue \$10.06M</p> <p>Spend \$9.68M</p> <p>Revenue \$0.4M under due to timing of grants. Expenditure \$2.1M under due to timing of contracts for water science, monitoring and remediation.</p>
Biodiversity & Biosecurity	<p>5 - Majority of biosecurity priority actions are on track, however some KPI's may not be fully met.</p> <p>1</p>	<p>Revenue \$4.59M</p> <p>Spend \$4.81M</p> <p>Revenue \$0.5M under largely due to timing of grants. Expenditure \$1.1M under due wilding pine contractor availability and ECO Fund timing.</p>
Air	<p>2 - Service measures and targets are on track.</p>	<p>Revenue \$0.33M</p> <p>Spend \$0.46M</p> <p>Overspend due to monitoring site upgrades needing additional work to meet required standards.</p>



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QUARTER THREE – March 2022

Performance Summary by Activity Group

Safety & Resilience		Service Delivery Results	Financial Performance	
Natural Hazards & Climate Change	 - Service measures and targets are on track.			
			Expenditure \$0.4M under largely due to timing of climate change contracts and radar data processing, partly offset by additional hazards work.	
Flood Protection, Drainage & River Management	 - Flood & drainage renewals target will not be fully met and river maintenance is behind schedule due to staff vacancies, weather and covid; focus is on priority works.  - River response target not met due to Q1 delays; Q3 timeframes met.  - No flood events YTD so repair programme not required. 			
			Revenue \$0.8M under due to timing of grants. Expenditure \$2.8M under due to timing of works and no flood events for the year to date.	
Emergency Management	 - Trained staff below target due to resignations and required training not yet available  - ECC not activated in Q3; target timeframe not measured. 			
			Expenditure \$1.2M under largely due to delayed external engagement due to Covid and staff vacancies.	



QUARTER THREE – March 2022

Performance Summary by Activity Group

Transport	Service Delivery Results	Financial Performance
Regional & Public Transport	<ul style="list-style-type: none"> 3 - Patronage across the network is below target and not expected to fully recover before year-end, largely due to impacts of Covid. 2 4 - Four targets not measured as they relate to surveys due to be conducted in Q4. 	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> <div style="background-color: red; color: white; padding: 5px; border-radius: 3px;">Revenue \$19.04M</div> <div style="font-size: 2em; color: red;">↓</div> </div> <div style="text-align: center;"> <div style="background-color: red; color: white; padding: 5px; border-radius: 3px;">Spend \$21.69M</div> <div style="font-size: 2em; color: red;">↓</div> </div> </div> <p>Revenue under by \$3.4M due largely to lower fare income (\$2 fare shortfall and lower patronage). Spend is \$2.8M under due to business case work delays and covid impacting services, including the Wakatipu Ferry.</p>



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QUARTER THREE – March 2022

2021-22 Service Measure Quarterly Results

Activity	Measures	Targets	Q1	Q2	Q3
Governance & Community Engagement	Percentage of official information requests responded to within statutory timeframes.	100%	Will not achieve	Will not achieve	Will not achieve
	Percentage of council agendas that are publicly available two working days or more before a meeting	100%	On track	On track	On track
	Deliver our statutory requirements with acceptable process and deliverables to decision-makers and the community	Unmodified audit reports received	On track	On track	On track
	Work done in partnership with iwi; increase the number of outputs and groups working together on projects	Establish baseline	On track	On track	On track
	Build the bicultural competency of ORC staff and councillors	50 participants in programme	On track	On track	On track
	Annual survey is conducted to understand and improve community awareness, perceptions and expectations of ORC	Survey completed to establish baselines and a report made public	On track	On track	On track
	Customers express high levels of satisfaction with customer service provision	Determine methodology and conduct benchmarking of customer satisfaction	May not achieve	May not achieve	May not achieve
	Regional Planning	Develop an integrated planning framework that enables well managed urban growth across Otago	Establish partnership agreements with DCC and QLDC by 30 June	On track	On track
Complete review of existing Regional Policy Statement (RPS)		Respond to hearing recommendations within specified timeframes	On track	On track	On track
Lead the development, implementation and review of Integrated Catchment Plans (ICP), in collaboration with iwi and community		Commence development of an Integrated Catchment Planning programme and report to Council on progress by 30 June	On track	On track	On track
Report on community wellbeing indicators		Develop baseline wellbeing indicators and report to Council	On track	On track	On track
Regulatory		Percentage of resource consent applications processed in accordance with Resource Management Act 1991 legislative timeframes	≥98%	On track	On track
	Percentage of public enquiries for consent information completed within 7 working days	establish baseline	On track	On track	On track
	Percentage of performance monitoring returns completed each year, as per the Compliance Audit and Performance Monitoring Schedule targets	≥90%	On track	On track	On track
	Percentage of programmed inspections/audits completed each year, as per the Compliance Audit and Performance Monitoring Schedule targets	≥85%	On track	On track	On track
	Percentage of significant non-compliance identified where action is taken in accordance with Compliance Policy	100%	On track	On track	On track
	Maintain 24-hour/7 day a week response for environmental incidents	Pollution hotline staff available/on call 24/7	On track	On track	On track
	Maintain 20 appropriately trained responders for maritime oil pollution incidents	20 responders attend 3 exercises per year	May not achieve	May not achieve	May not achieve
	Maintain compliance with Port and Harbour Marine Safety Code	Annual self review is completed by ORC and POL and signed off by the Chief Executives.	On track	On track	On track
	Major incidents on Otago's Harbours and waterways will be responded to	Major incidents and ORC's response are reported to Council quarterly	On track	On track	On track
	On-water engagement, education of recreational users and safety campaigns are documented and reported annually	Report to council by 30 June	On track	On track	On track



● Achieved,
 ● On track,
 ● May not achieve,
 ● Will not achieve,
 ● Delayed/Not measured

QUARTER THREE – March 2022

2021-22 Service Measure Quarterly Results

Activity	Measures	Targets	Q1	Q2	Q3	
Environment	Land & Water	Complete the Land and Water Regional Plan(LWRP)			Will not achieve	
		Implement a regional coastal environment monitoring programme			Achieved	
		Implement freshwater and estuarine environment monitoring programmes	Annual report on monitoring programme completed and reported to Council			On track
		Percentage of data from the water monitoring network that is captured quarterly.	≥95% data capture achieved			On track
		Develop and implement a regional land use monitoring programme	Develop regional land use monitoring programme and report to Council			On track
		'Otago Catchment Communities' is supported to meet deliverables and targets of funding agreement.	Funding is administered as per agreement			On track
			Report to Council on deliverables and targets achieved by 30 June			On track
		Land owner/community led projects promoting best practice land management for soil conservation, water quality and the efficient use of water are identified and supported.	Three or more projects supported per year			On track
		At least three site specific action plans for selected degraded waterbodies are developed, prioritised, and implemented.	Projects confirmed and priority actions identified by 30 Sept.		On track	On track
			90% of priority actions undertaken as scheduled			On track
	Biodiversity & Biosecurity	Actions listed in the Biodiversity Action Plan (BAP) are prioritised and progressed.	Priority actions achieved within timeframes specified in annual work plan			On track
		Partnerships established in line with the Biodiversity Action Plan and joint projects developed.	Establish baseline number of partnership engagement activities and events, and report to Council			On track
			Joint projects scoped and milestones identified and reported to Council			On track
		Complete a report on the initiatives and organisations supported and the key deliverables achieved.	Report to Council by 30 June.			On track
Percentage of funding administered as per agreements.		100%			On track	
Air	Priority targets within the Biosecurity Operational Plan (BOP) are identified and achieved.	Priority actions achieved within timeframes specified in annual work plan.			May not achieve	
	Implement regional air monitoring programme.	Annual report on monitoring programme completed and reported to Council			On track	
	Percentage of data from the air monitoring network that is captured quarterly.	≥95% data capture achieved			On track	

● Achieved, ● On track, ● May not achieve, ● Will not achieve, ● Delayed/Not measured



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QUARTER THREE – March 2022

2021-22 Service Measure Quarterly Results

Activity	Measures	Targets	Q1	Q2	Q3	
Safety and Resilience	Natural Hazards & Climate Change Adaptation	Complete regional natural hazards risks assessment (NHRA) and develop a regional approach for prioritising adaptation to inform adaptation planning and implementation.	Commence natural hazard risk assessment and investigation of prioritisation approach.	On track	On track	On track
		Implement prioritised natural hazard risks adaptation works.	Work in priority areas is delivered as per plan by 30 June	On track	On track	On track
			The Head of Lake Wakatipu natural hazards adaptation strategy progresses as per annual work plan	On track	On track	On track
			Collaboration framework for South Dunedin and Harbourside natural hazards adaptation strategy is defined by 30 June	On track	On track	On track
		Relevant and up to date natural hazards information is available via the web-based Otago Natural Hazards Database	Database information is checked and updated monthly	On track	On track	On track
	Percentage of flood warnings that are issued in accordance with the flood warning manual.	100%	On track	On track	On track	
	Flood Protection, Drainage & River Management	Major flood protection and control works are maintained, repaired, and renewed to the key standards defined in relevant planning documents.	≥80% of planned maintenance programme completed	On track	May not achieve	On track
			Schemes function to their constructed design standards	On track	On track	On track
			≥90% of renewals programme completed	On track	May not achieve	Will not achieve
		Damage identified, prioritised and a repair programme communicated with affected communities in a timely manner. (Flood Protection)	Programme developed and communicated within 3 months of the event	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured
		Percentage of identified and reported issues that have been investigated and appropriate action determined and communicated to affected landholders within 20 working days. (River Management)	100%	Will not achieve	Will not achieve	Will not achieve
	Percentage of planned maintenance actions achieved each year (River Mgmt)	≥90%	On track	May not achieve	May not achieve	
	Emergency Management	Support is provided to the Otago CDEM Group as per the CDEM Act and Otago CDEM Partnership Agreement	Fulfil all requirements as the administering authority.	On track	On track	On track
		An adequate Emergency Coordination Centre (ECC) facility and staffing are available	Adequate staff who are trained and available for any activation of the ECC.	May not achieve	On track	May not achieve
			An appropriate facility is available for activation at all times	On track	On track	On track
ECC activated in a timely manner		ECC activated within 1 hour of Group Controller's decision to activate	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured	

● Achieved,
 ● On track,
 ● May not achieve,
 ● Will not achieve,
 ● Delayed/Not measured



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QUARTER THREE – March 2022

2021-22 Service Measure Quarterly Results

Activity	Measures	Targets	Q1	Q2	Q3
Transport Transport Planning & Public Transport	The Regional Land Transport Plan (RLTP) is reviewed and submitted in line with the Land Transport Management Act 2003 and any guidance issued by the New Zealand Transport Agency (NZTA)	RLTP implementation progress reported annually to Regional Transport Committee	On track	On track	On track
	Annual public transport boardings in Queenstown per capita	increase	May not achieve	May not achieve	Will not achieve
	Annual public transport boardings in Dunedin per capita	increase	May not achieve	May not achieve	Will not achieve
	Overall passenger satisfaction with Wakatipu Public Transport system at annual survey.	97%	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured
	Overall passenger satisfaction with Dunedin public transport system at annual survey	93%	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured
	Percentage of scheduled services delivered (reliability)	95%	On track	On track	On track
	Percentage of scheduled services on-time (punctuality – to five minutes)	95%	On track	On track	On track
	Percentage of users who are satisfied with the provision of timetable and services information	establish baseline	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured
	Percentage of users who are satisfied with the overall service of the Total Mobility scheme	establish baseline	Delayed/Not measured	Delayed/Not measured	Delayed/Not measured

● Achieved,
 ● On track,
 ● May not achieve,
 ● Will not achieve,
 ● Delayed/Not measured



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QUARTER THREE – March 2022

Activity Financial Report- March 2022

YEAR TO DATE ACTUAL VS BUDGET	Revenue			Expenses			Surplus/(Deficit)		
	Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000	Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000	Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000
Governance and Community	4,399	4,391	8	4,484	4,296	188	(85)	95	(181)
Regional Planning	2,794	2,761	33	2,327	2,528	(201)	467	233	234
Regulatory	7,914	9,002	(1,088)	9,277	9,273	4	(1,363)	(270)	(1,092)
REGIONAL LEADERSHIP	15,107	16,154	(1,047)	16,088	16,096	(8)	(981)	(58)	(1,039)
Land and Water	10,059	10,422	(363)	9,680	11,736	(2,056)	380	(1,314)	1,694
Biodiversity & Biosecurity	4,593	5,114	(521)	4,808	5,909	(1,101)	(215)	(795)	580
Air	330	328	2	464	381	84	(135)	(53)	(82)
ENVIRONMENT	14,982	15,864	(882)	14,952	18,026	(3,073)	30	(2,161)	2,191
Natural Hazards & Climate	1,853	1,877	(24)	1,605	2,049	(444)	248	(172)	420
Flood & Drainage	5,298	6,098	(800)	5,445	7,446	(2,001)	(147)	(1,349)	1,201
River Management	1,518	1,477	41	1,415	2,201	(786)	103	(724)	827
Emergency Management	2,233	2,219	14	1,950	2,069	(119)	283	150	133
SAFETY & RESILIENCE	10,902	11,671	(769)	10,415	13,765	(3,350)	486	(2,094)	2,581
Transport Planning	199	305	(106)	103	305	(201)	95	1	95
STEDS	88	98	(10)	91	98	(7)	(3)	-	(3)
PT- Dunedin	12,632	14,019	(1,387)	14,090	15,128	(1,037)	(1,458)	(1,109)	(349)
PT- Wakatipu	4,749	6,401	(1,652)	6,079	7,287	(1,209)	(1,330)	(887)	(443)
PT- Other	1,367	1,577	(211)	1,329	1,652	(323)	38	(75)	113
TRANSPORT	19,035	22,400	(3,365)	21,692	24,470	(2,778)	(2,658)	(2,070)	(588)
TOTAL	60,026	66,089	(6,063)	63,149	72,358	(9,209)	(3,123)	(6,268)	3,146

\$100,000 REVENUE VARIANCE ANALYSIS		Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000	Comment
REGIONAL LEADERSHIP					
I1	Incident Response	1,085	1,190	(105)	Revenue from incident response is below budget, however there has been increased expenditure and incident response events.
R1	Consent Processing	3,662	4,120	(457)	Consent processing revenue is below budget however there has only been a reduction of \$27,000 in expenditure.
R2	Compliance Monitoring	2,598	3,099	(501)	Compliance monitoring revenue is below budget due to staff time being spent on incident response events and more time spent on permitted activity monitoring.
ENVIRONMENT					
W3	Land & Water implementation	899	1,342	(443)	Revenue is below budget due to funding of \$450,000 (total 2021/2022 budgeted revenue of \$600,000) for Lakes Hayes not being received.
L2	Biosecurity	2,350	1,977	374	This variance in revenue was caused by MPI wallaby program grant funding being higher than budgeted at the time of setting the LTP.
L7	Wilding confer	1,199	2,100	(901)	The variance in revenue was caused by the grant funding receive from MPI being behind schedule, due to delays in the wilding confer program. Revenue is received in advance (balance sheet liability account) and released to revenue when the service to performed.
SAFETY & RESILIENCE					
F1	Alexandra flood protection	4	193	(189)	LTP budget assumes Contact Energy pay for 98% of the cost. Funding for the current financial year hasn't been received to date. There is currently no agreement with Council and Contract Energy however this is currently being negotiated.
F3	Lower Clutha flood protection	1,019	1,177	(158)	This revenue is below budgeted revenue due to timing delays in the Climate Resilience project and MBIE funding. Funding is received as expenditure is incurred and will be received in the future as the project progresses.
F4	Lower Taieri flood protection	1,305	1,706	(401)	
TRANSPORT					

\$100,000 REVENUE VARIANCE ANALYSIS		Actual 2022	LTP 2022	Variance	Comment
		<small>Year to Date \$'000</small>	<small>Year to Date \$'000</small>	<small>\$'000</small>	
T1	Regional Land Transport Planning	199	305	(106)	This below budgeted revenue has been caused by reduced grant funding being claimed from NZTA due to decrease expenditure.
T4	PT- Dunedin	12,632	14,018	(1,386)	The majority of this below budgeted revenue is due to reduced fare revenue, caused by \$2 fares and reduced patronage due to a Covid lockdown in Quarter 1.
T5	PT- Queenstown	4,748	6,401	(1,652)	
T6	PT- Other	1,367	1,577	(210)	This variance in budgeted revenue has been caused by reduced expenditure in total mobility resulting in reduced grants being claimed from NZTA.

\$100,000 EXPENDITURE VARIANCE ANALYSIS		Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000	Comment
REGIONAL LEADERSHIP					
G8	Governance & Financial Contributions	2,231	2,115	116	This increase in expenditure has been caused by the non-budgeted Clutha River review. The variance is being off set by reduced spending in iwi relationships at the end of Q3.
P1	RPS, Strategy, urban planning	2,327	2,528	(201)	This decreased expenditure is due to timing differences from the original spreading of the budget. Costs in relation to the RPS hearing were budgeted in the 2021/2022 year but have been delayed and will be in Q1 of 2022/2023.
I1	Incident response	1,573	1,201	372	The majority of the increased costs is due to higher staff time supporting incident responses, due to an increase in the number of incidents and investigations. This has been offset by a corresponding decrease in compliance monitoring costs as staff have not been able to complete as much compliance monitoring work as they have been responding to incidents.
R2	Compliance monitoring	2,966	3,342	(376)	
ENVIRONMENT					
W1	Land & water planning	2,042	1,783	259	This overbudget expenditure was caused by the environment court Omnibus plan change (PC1, 7 & 8) and will be overspent at year end.
W2	Land & water science and monitoring	6,063	8,056	(1,993)	This expenditure is behind the original budgeted spread due to delays in science expenditure. It is forecasted that significant expenditure will occur in Q4.
W3	Land & water implementation	1,205	1,576	(371)	This decreased expenditure has been caused by \$128,000 reduction in integrated catchment expenditure.
L1	Biodiversity implementation	417	747	(330)	The majority of this underbudget expenditure is caused by the timing of Eco-fund payments, with payments being behind the original budgeted timing.
L2	Biosecurity	2,796	2,539	257	This variance in expenditure was caused by MPI wallaby program expenditure and grant funding being higher than budgeted at the time of setting the LTP.
L7	Wilding conifer	1,345	2,100	(754)	This underbudgeted expenditure was caused by contractor availability, with expenditure forecasted to increase and be fully spent in Q 4.

\$100,000 EXPENDITURE VARIANCE ANALYSIS		Actual 2022 Year to Date \$'000	LTP 2022 Year to Date \$'000	Variance \$'000	Comment
L8	Biodiversity science & monitoring	250	522	(272)	This underbudget expenditure has been caused by delayed services in environment monitoring and science programs from what was originally budgeted in the LTP.
SAFETY & RESILIENCE					
F3	Lower Clutha flood protection	1,780	2,040	(259)	Flood protection schemes are behind budgeted expenditure.
F4	Lower Taieri flood protection	1,227	2,127	(900)	Maintenance projects are on schedule however there has been a delay in renewals projects which has resulted in a net behind budgeted expenditure position.
F5	West Taieri drainage	546	1,001	(455)	
F6	East Taieri drainage	475	868	(393)	
F9	Scheme oversight & bylaws	724	507	217	Also included in the budgeted expenditure is maintenance and flood response costs which has not been spent in the current year due to there being no flood event in 2021/2022.
M3	River Management- Central	283	386	(100)	River management expenditure is behind schedule due to staff vacancies, weather and covid restrictions resulting in maintenance not being able to be perform within the river consent and safe working river level condition windows.
M4	River Management- Wakatipu	89	358	(269)	
M5	River Management Wanaka	123	302	(179)	
M6	River Management- Waitaki	118	303	(185)	
N2	Flood & Low flow risk management	129	257	(128)	This underspend relates to the timing of radar data processing which is expected to be completed by the end of the financial year but is different from the LTP budgeted expenditure timing.
N3	Climate change adaption	438	820	(382)	This underspend has been caused by the South Dunedin modelling project being completed at a different timing from budget.
E1	Emergency Management	1,950	2,069	(119)	This underspend has been caused by the impact of covid on community engagement programmes.
TRANSPORT					
T1	Regional Land Transport Planning	103	304	(201)	This underspend has been caused by a delay in RTLP work.
T4	PT- Dunedin	14,090	15,128	(1,038)	This under budget expenditure has been caused by reduced bus operator costs due to the lockdown during Q1 resulting in reduced service levels.

**\$100,000 EXPENDITURE
VARIANCE ANALYSIS**

		Actual 2022 <small>Year to Date \$'000</small>	LTP 2022 <small>Year to Date \$'000</small>	Variance <small>\$'000</small>	Comment
T5	PT- Queenstown	6,079	7,287	(1,208)	This under budget expenditure has been caused by 2 factors; 1. The reduced bus operator costs due to the lockdown during Q1 resulting in reduced service levels. 2. \$500,000 of the variance was caused by reduced service from original budgeted levels in the LTP for the ferry trial.
T6	PT- Other	1,329	1,652	(323)	This under budget expenditure has been caused by reduced total mobility expenditure due to the impact of covid on service demands and a reduction in RPTP due to staff vacancies.

FORECAST to June 2022	Revenue			Expenditure			Surplus/Deficit		
	Forecast	Budget	Variance	Forecast	Budget	Variance	Forecast	Budget	Variance
Governance & Community	5,846	5,855	(10)	6,040	5,728	312	(194)	128	(322)
Regional Planning	3,681	3,681	-	3,358	3,681	(323)	323	()	323
Regulatory	10,639	12,003	(1,364)	12,434	12,363	71	(1,795)	(360)	(1,435)
REGIONAL LEADERSHIP	20,165	21,539	(1,374)	21,832	21,771	61	(1,667)	(233)	(1,434)
Land & Water	13,296	13,896	(600)	16,050	16,034	16	(2,754)	(2,138)	(617)
Biodiversity & Biosecurity	8,742	8,019	723	9,070	9,149	(79)	(328)	(1,130)	802
Air	437	437	-	540	482	58	(103)	(45)	(58)
ENVIRONMENT	22,475	22,352	123	25,660	25,665	(5)	(3,185)	(3,313)	127
Hazards & Climate Change	2,457	2,503	(46)	2,474	2,732	(258)	(17)	(229)	212
Flood & Drainage	7,482	8,130	(649)	8,183	9,448	(1,265)	(701)	(1,318)	616
River Management	1,970	1,970	-	2,350	2,561	(211)	(380)	(592)	211
Emergency Management	2,959	2,959	-	2,755	2,759	(4)	204	200	4
SAFETY & RESILIENCE	14,867	15,562	(695)	15,762	17,500	(1,738)	(895)	(1,939)	1,044
Regional Land Transport Planning	249	407	(158)	120	407	(287)	129	()	129
Stock Truck Effluent Disposal	114	131	(17)	130	131	(1)	(16)	()	(16)
Public Transport - Dunedin	16,800	18,804	(2,005)	18,890	20,371	(1,481)	(2,090)	(1,567)	(523)
Public Transport - Wakatipu	6,740	8,560	(1,820)	8,630	9,767	(1,137)	(1,890)	(1,207)	(683)
Public Transport - Other	1,682	2,103	(422)	1,800	2,204	(404)	(118)	(101)	(18)
TRANSPORT	25,585	30,005	(4,420)	29,570	32,880	(3,310)	(3,985)	(2,874)	(1,111)
TOTAL	83,092	89,458	(6,366)	92,824	97,816	(4,992)	(9,732)	(8,358)	(1,374)

- Forecasted Expenditure \$5m less than budget, forecasted Revenue \$6.4m less than budget
- Forecasted deficit \$1.4m greater than budgeted deficit

Funding of Surplus/(Deficit) Variance

FORECAST to June 2022	Funding Surplus/(Deficit) Variance			
	General	Targeted	Other	Total
Governance & Community	(322)	-	-	(322)
Regional Planning	323	-	-	323
Regulatory	(1,478)	43	-	(1,435)
REGIONAL LEADERSHIP	(1,477)	43	-	(1,434)
Land & Water	(758)	(403)	545	(617)
Biodiversity & Biosecurity	403	309	90	802
Air	(53)	-	(5)	(58)
ENVIRONMENT	(408)	(95)	630	127
Hazards & Climate Change	183	-	29	212
Flood & Drainage	-	616	-	616
River Management	-	211	-	211
Emergency Management	-	4	-	4
SAFETY & RESILIENCE	183	832	29	1,044
Regional Land Transport Planning	129	-	-	129
Stock Truck Effluent Disposal	(16)	-	-	(16)
Public Transport - Dunedin	-	(523)	-	(523)
Public Transport - Wakatipu	-	(683)	-	(683)
Public Transport - Other	-	(18)	-	(18)
TRANSPORT	113	(1,224)	-	(1,111)
TOTAL	(1,589)	(444)	659	(1,374)

Additional general reserve of \$1.6m required to fund shortfall

Statement of Comprehensive Revenue and Expense for the 9-month period ended 31 March 2022

	Notes	Actual 2022 9 month period	Long Term Plan 2022 9 month period	Variance 9 month period	Long Term Plan 2022 12 month period	Actual 2021 12 month period
REVENUE						
Rates revenue		30,154	30,022	132	40,039	27,015
Subsidies and grant revenue	1	11,992	13,153	(1,160)	18,856	19,007
Other income	2	7,956	13,027	(5,071)	17,389	15,375
Dividends	3	10,090	9,750	340	13,000	10,439
Interest and investment income	3	140	563	(422)	750	138
TOTAL REVENUE		60,332	66,514	(6,182)	90,034	71,974
EXPENDITURE						
Employee benefits expense	4	(19,487)	(20,563)	1,076	(27,417)	(21,956)
Depreciation and amortisation		(2,919)	(2,681)	(239)	(3,574)	(3,360)
Finance costs		(27)	(187)	161	(250)	-
Other expenses	5	(38,113)	(44,742)	6,629	(60,964)	(60,067)
TOTAL OPERATING EXPENDITURE		(60,546)	(68,273)	7,627	(92,206)	(85,383)
OPERATING SURPLUS/(DEFICIT)		(214)	(1,659)	1,444	(2,172)	(13,409)
Other gains/(losses)		(1,110)	555	(1,665)	740	4,955
Revaluation gain/(loss)—shares of subsidiary		-	-	-	20,935	75,966
Income tax benefit/(expense)		74	-	-	-	100
SURPLUS/(DEFICIT)		(1,251)	(1,104)	(221)	19,503	67,612

Notes

- Subsidies and Grant revenue is \$1,160,000 below budget due to the following:
 - The engineering department's climate resilience projects in the Lower Taieri flood protection scheme, West Taieri drainage scheme and Lower Clutha flood and drainage scheme were slightly behind schedule due to delays in procurement. This is a timing delay with no indication that the grant income will not be received. Grant revenue from MBIE is received as expenditure is incurred in stage of completion milestones. Grant revenue from MBIE was \$567,000 below budget.
 - Council received grant funding from Regional Sector Holdings for regional council contributions towards LAWA activities of \$304,000. This funding is to cover LAWA activity costs for the period to Q3. This was not budgeted to be grant income in the LTP and was budgeted as \$273,000 other income in the LTP.
 - Council received grant funding from MPI of \$1,397,000 for wallaby control work in the 2021/2022 year, this is recorded as a balance sheet liability- revenue in advance, until

the services required to receive the grant funding are completed. Council had recorded \$504,000 of revenue for wallaby pest control for the period ended 31 March 2022 as this level of services was completed. The revenue was budgeted as other income of \$100,000.

- Council received grant funding from MPI of \$3,943,000 for wilding conifer control work in the 2021/2022 year and \$161,000 of grant funding from the 2020/2021 year was approved to be rolled forward to be spent in the 2021/2022 year making a total grant funding allocation for 2021/2022 financial year of \$4,104,000.

The grant funding allocation is recorded as a balance sheet liability- revenue in advance, until the services required to receive the grant funding are completed. Council had recorded \$1,048,000 of revenue for wilding conifer pest control for the period ended 31 March 2022 as this level of services was completed. The budgeted revenue to be spent was \$1,950,000, wilding conifer revenue is \$902,000 below budget in Q3.

- Public transport totally mobility grant funding is \$212,000 below budgeted revenue due to a reduction in total mobility trips resulting in a reduction in expenditure and grant money claimed from Waka Kotahi NZTA.
 - Public transport Wakatipu grant funding has decreased by \$71,000 and Dunedin public transport grant funding by \$75,000 compared to budget.
 - Regional land transport planning grant revenue was \$107,000 below budgeted revenue of \$156,000.
 - The remaining variance of \$34,000 was caused by small variances in grant funding received across council activities.
2. Other Income is \$5,071,000 below budget due to the following:
- Alexandra flood protection income is \$188,000 below budget due to the assumption in the budget that Contact Energy would pay for flood protection measures at a similar level to prior years. There is currently no agreement with Council and Contract Energy however this is currently being negotiated.
 - Rates penalty income was \$426,000 above budget. Rates penalties were in line with the prior year and were reported to the 24th November 2021 finance committee in the Rate Strike Collection paper.
 - No funds were received for the Lake Hayes remediation work however \$450,000 was budgeted to be received by Q3.
 - Consent processing revenue was \$458,000 below budget revenue of \$2,699,000.
 - Compliance monitoring revenue was \$513,000 below budgeted revenue of \$1,151,000.

- Public transport revenue was \$1,320,000 below budgeted revenue of \$3,330,000 for the Dunedin network. Dunedin network reduced fare revenue was caused by the effect of \$2 fares and lower patronage for the year to date due to a covid lockdown during Q1.
 - Public transport revenue for the Wakatipu network was \$1,587,000 below budgeted revenue of \$2,782,000. The Wakatipu network reduced fare revenue was caused by reduced patronage due to the impact of covid 19 and \$555,000 of the variance was caused by reduce service in the Queenstown ferry.
 - Public transport revenue for totally mobility and RTPPT planning was \$448,000 below budgeted revenue.
 - There was a \$273,000 reduction in other income due to LAWA regional council contributions being budgeted in the wrong revenue category as other income instead of subsidiaries and grant revenue.
 - The remaining variance of \$260,000 was caused by small variances in other income funding received across council activities.
3. Dividends was \$340,000 above budget and interest and investment income was \$422,000 below budget due to dividends from the JB Were managed fund being budgeted as investment income instead of dividend income. The net below budget dividend and investment income variance was \$83,000, caused by lower interest revenue.
4. Employee benefits expenses is \$1,076,000 below budget due to budgeted positions in the LTP still being recruited. The following tables shows LTP budgeted FTEs compared to actual FTEs for the Q1 (30 September 2021), Q2 (31 December 2021), Q3 (31 March 2022) and the prior year FTEs (30 June 2021).

Directorate	21/22 FTE	Q 1 2022		Q2 2022	Q3 2022	December variance	Prior year end 30 June 2021 FTEs
	Budget	September 2021 FTEs	December 2021 FTEs	March 2022 FTEs	from budget		
Chief Executive	2			2	2	0	2
Corporate Services	45.1	42.4	40.6	42.6	-2.5	41	
Operations	74.2	60.8	70.8	75.8	1.6	64.1	
Governance, Culture & Customer engagement	17.6	15.4	18.4	19.4	1.8	14.4	
Strategy, Policy and Science	63.9	53.7	55.7	63.7	-0.2	55.4	
Regulatory & Communications	87.9	74.2	78.2	78.8	-9.1	73.9	
	290.7	248.5	265.7	282.3	-8.4	250.8	

5. Other expenses is \$6,629,000 below budget due to the following:
- Council contributions is \$690,000 below budgeted expense of \$2,741,000. The majority of this below budget expenditure is caused by reduced spending in wilding confers control compared to budget. Grant revenue has been received for the wilding confer control work but is included in the balance sheet liability account, income in advance until the services are performed.
 - Legal expenses have increased by \$1,151,000 compared to budgeted costs of \$930,000 the majority of the increase was caused by:
 - \$168,000 increase in incident response legal expenses
 - \$528,000 increase in land and water planning
 - \$205,000 increase due to non-budget investigations

- Software licenses have increase by \$287,000 compared to budgeted expenditure of \$750,000
- \$228,000 increase in subscriptions costs compared to budgeted expenditure of \$80,613
- Casual labour increased by \$140,000 compared to budgeted expenditure of \$19,000
- \$421,000 increase expenditure in repairs and maintenance compared to budget expenditure of \$1,514,000
- Drop of \$8,283,000 in supplies and services compared to budgeted costs of \$16,653,000. The majority of the decreased expenditure was caused by:
 - \$2,676,000 decrease in land and water monitoring programs
 - \$1,396,000 decrease in Wakatipu public transport
 - \$1,760,000 decrease in Dunedin public transport
 - \$638,000 decrease in total mobility and super gold expenditure
 - \$592,000 decrease in flood protection services
 - \$498,000 decrease in climate change adaption
 - \$ 837,000 decrease in river management

The remaining variance of \$117,000 was caused by small variances across multiple expense ledger groups.

Statement of Financial Position as at 31 March 2022

	Notes	Actual 2022 9 month period	Actual 2021 12 month period	Variance	Long Term Plan 2022 12 month period
CURRENT ASSETS					
Cash and cash equivalents	1	8,661	4,380	4,281	8,466
Trade receivables	2	12,862	8,318	4,544	11,398
Other financial assets	3	24,606	25,313	(707)	34,022
Other current asset	4	982	698	284	514
TOTAL CURRENT ASSETS		47,110	38,709	8,401	54,400
NON- CURRENT ASSETS					
Property, plant and equipment	5	96,752	96,236	516	97,646
Investment property		16,490	16,490	-	15,519
Shares in subsidiary		612,330	612,330	-	564,299
Deferred tax asset		71	98	(27)	98
Intangible asset		1,534	1,888	(354)	6,686
TOTAL NON-CURRENT ASSETS		727,178	727,042	136	684,248
TOTAL ASSETS		774,288	765,751	8,537	738,648
CURRENT LIABILITIES					
Trade and other payables	6	(27,165)	(17,031)	(10,134)	(11,918)
Provision	7	-	(783)	783	-
Employee entitlement		(2,580)	(2,143)	(437)	(2,215)
TOTAL CURRENT LIABILITIES		(29,744)	(19,957)	(9,787)	(14,133)
NON CURRENT LIABILITIES					
Other financial instruments		-	-	-	(25,000)
TOTAL NON CURRENT LIABILITIES		-	-	-	(25,000)
NET ASSETS		744,543	745,794	(1,251)	699,515
EQUITY					
Reserves		635,473	635,622	(149)	576,700
Public Equity		109,070	110,172	(1,102)	122,815
TOTAL EQUITY AND RESERVES		744,543	745,794	(1,251)	699,515

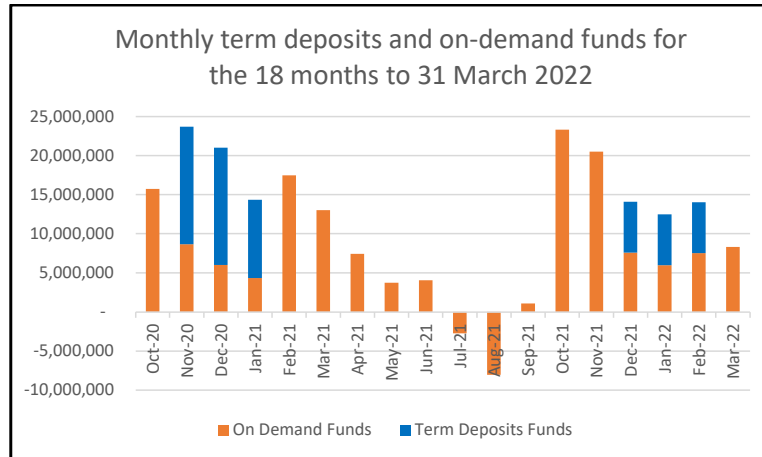
Notes

- Cash and cash equivalents increased by \$4,281,000 from the 30 June 2021 position of \$4,380,000. This increase was caused by the receipt of rates receipts which are invoiced once a year, due 31 October 2021.
- Trade Receivables has increased by \$4,544,000 from the 30 June 2021 position of \$8,318,000. This majority of this increase was caused by:

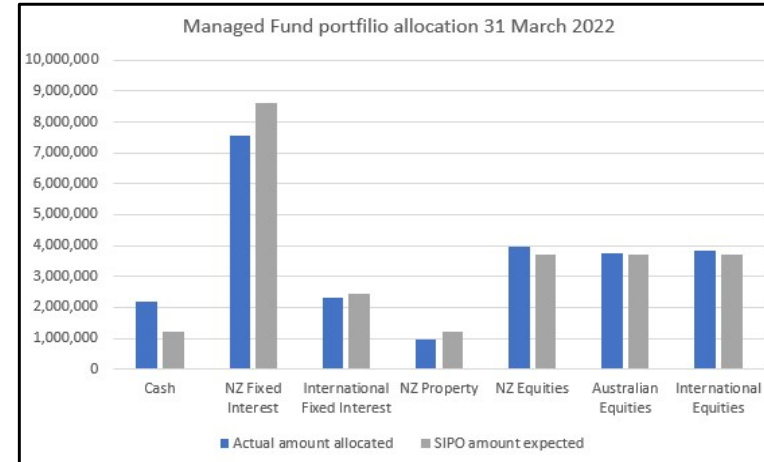
- Dividend receivable from Port Otago increased by \$2,750,000. The dividend receivable balance is the monthly dividend accrual balance to record the dividend revenue that is expected but not yet paid under the Statement of Intent with Port Otago Limited.
 - Trade debtors increased by \$154,000 due to the timing of debtor invoicing.
 - GST receivables increased by \$526,000
 - Sundry debtor accrual increased by \$1,114,000 the majority of which was caused by consent and transport revenue accruals.
3. Other financial assets decreased \$707,000 from the 30 June 2021 position of \$25,313,000 due to the decrease in market value on the investments held in the JB Were long term managed fund.
4. Other current assets increased by \$284,000 from the 30 June 2021 position of \$698,000. This balance is prepayments that Council makes for annual licensing and insurance that is released throughout the financial year.
5. Property plant and equipment increased by \$516,000 from the 30 June 2021 position of \$96,236,000. This decrease is caused by:
- Additions of \$3,130,000, the majority being plant and vehicles \$1,526,000 and Infrastructure WIP \$1,377,000
 - Less: Disposals of \$218,000, mainly plant and vehicles
 - Less: Net depreciation of \$2,396,000
6. Trade and other payables is \$10,134,000 above the 30 June 2021 position of \$17,031,000 due to:
- Fees received in advance has increased by \$3,580,000 from the 30 June 2021 position to a balance of \$4,505,000 at Q3. The fees received in advanced are for grants that are received but are not recorded as revenue until the service has been performed. The current balance at Q 3 is made up of the following:
 - Wilding confer funding \$3,119,000
 - Wallabies funding \$984,000
 - Climate Resilience \$162,000
 - Performance monitoring fees for consents \$99,000
 - Other \$141,000
 - Rates revenue received in advance has increased by \$10,057,000 from a nil position at 30 June 2021. Rates receipts are recorded as liability when they are received until the rates revenue has been earned each month. At 30 June all rates for the year have been earned and there is no liability for rates revenue received in advance.
 - The remaining variance of \$3,503,000 decrease was caused by the timing of supplier invoice payments.
7. The provision of \$783,000 at 30 June 2021 has reduced to nil due to the bus contractors living wage cost being paid in Q2.

Treasury Report

Term Deposits - Portfolio Composition



Managed Fund – Portfolio Allocation - as at 31 March 2022



Portfolio Performance- for the year ended 31 March 2022

Managed Fund Income	Q1 2022 YTD	Q2 2022 YTD	Q3 2022 YTD
Interest on managed fund	42,242	77,862	120,878
Dividends	135,963	253,065	339,647
Other	(32,117)	(63,624)	(94,446)
Market Value movement gain/(loss)	25,360	94,630	(1,084,983)
Withdrawal			
Total increase/(decrease) in managed fund	171,448	361,933	(718,904)

Asset Class Allocation - as at 31 March 2022

Asset Class	Actual amount allocated	%	SIPO amount expected	SIPO target	SIPO acceptable range	SIPO met
Cash	2,198,619	9%	1,230,284	5%	0% - 25%	Yes
NZ Fixed Interest	7,543,431	31%	8,611,985	35%	25% - 45%	Yes
International Fixed Interest	2,334,704	9%	2,460,567	10%	5% - 15%	Yes
Defensive Total	12,076,754	49%	12,302,836	50%	40% - 60%	Yes
NZ Property	962,142	4%	1,230,284	5%	2% - 8%	Yes
NZ Equities	3,967,732	16%	3,690,851	15%	10% - 20%	Yes
Australian Equities	3,771,854	15%	3,690,851	15%	10% - 20%	Yes
International Equities	3,827,190	16%	3,690,851	15%	10% - 20%	Yes
Growth Total	12,528,918	51%	12,302,836	50%	40% - 60%	Yes
Total	24,605,672					

Finance Committee Agenda - 25 May 2022 - MATTERS FOR CONSIDERATION

ACTIVITY DETAIL MARCH 2022	Surplus / (Deficit)			General Reserves			Targeted Reserves			Other Reserves			Total Funding			
	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance	
REGIONAL LEADERSHIP																
Communications & Engagement	G1	(62)	0	(62)	62	-	-	-	-	-	-	-	2,253	2,181	72	
Governance & Financial Contributions	GB	(23)	96	(119)	23	(96)	119	-	-	-	-	-	2,231	2,115	116	
Total Governance & Community		(85)	96	(181)	85	(96)	181	-	-	-	-	-	4,484	4,296	188	
GPS, Strategy, Urban Dev & Response to Issues	P1	467	233	234	(467)	-	(467)	-	-	-	-	-	3,327	2,761	(433)	
Total Regional Leadership		467	233	234	(467)	-	(467)	-	-	-	-	-	2,327	2,761	(433)	
Incident Response & Enforcement	I1	(487)	(12)	(476)	486	-	(486)	-	-	1	-	1	1,374	1,190	184	
Consent Processing	R1	(430)	(0)	(430)	424	-	(424)	-	-	7	-	7	4,093	4,200	(107)	
Compliance Monitoring & Contaminated Sites	R2	(369)	(244)	(125)	334	201	134	34	32	2	-	23	2,966	3,354	(388)	
Harbours Management	R4	(76)	(13)	(63)	74	-	(74)	-	-	2	15	(13)	645	609	36	
Total Regulatory		(1,362)	(270)	(1,092)	1,318	201	1,118	41	32	9	3	38	9,277	9,272	5	
Total Regional Leadership		(981)	94	(1,099)	937	105	832	41	32	9	3	38	16,088	16,328	(240)	
ENVIRONMENTAL																
Land & Water Planning	W1	(230)	(0)	(230)	230	-	(230)	-	-	-	-	-	2,042	1,783	259	
Land & Water Science and Monitoring	W2	970	(1,042)	2,012	(1,882)	-	(1,882)	-	-	412	1,309	(897)	6,063	8,322	(2,259)	
Land & Water Implementation	W3	(306)	(234)	(72)	(103)	-	(103)	336	182	154	71	75	(4)	1,205	1,599	(393)
LAWA	GP	(54)	(37)	(17)	54	-	(54)	-	-	37	(37)	-	370	322	48	
Total Land & Water Planning		380	(1,314)	1,694	(1,198)	-	(1,198)	336	220	146	483	1,384	(901)	9,680	12,025	(2,345)
Biodiversity Implementation	L1	110	(225)	335	(110)	-	(110)	-	-	-	225	(225)	417	747	(330)	
Biosecurity	L2	(446)	(563)	117	-	-	-	446	563	(117)	-	-	2,796	2,539	257	
Environmental Enhancement	L6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Wilding Pines	L7	(146)	-	(146)	43	-	43	103	-	103	-	-	1,345	2,100	(755)	
Biodiversity Science & Monitoring	L8	268	(7)	275	(268)	-	(268)	-	-	-	68	(68)	250	583	(333)	
Total Biodiversity & Biosecurity		(215)	(795)	580	(334)	-	(334)	549	563	(13)	-	-	4,808	5,969	(1,161)	
Air Monitoring	A1	(134)	(5)	(129)	32	-	32	-	-	-	103	34	69	464	362	102
Total Air		(134)	(5)	(129)	32	-	32	-	-	-	103	34	69	464	362	102
Total Environmental		30	(2,161)	2,191	(1,500)	-	(1,500)	885	782	103	586	1,710	(1,124)	14,952	18,356	(3,404)
SAFETY AND RESILIENCE																
Alexandra Flood Protection	F1	(144)	(0)	(143)	-	-	-	144	-	144	-	-	148	193	(45)	
Leith Flood Protection Scheme	F2	821	730	91	-	-	-	(821)	(730)	(91)	-	-	412	501	(89)	
Leith-Cutha Flood Protection & Drainage	F3	(761)	(863)	102	-	-	-	188	377	(189)	573	262	311	2,760	1,816	(844)
Leith-Taiari Flood Protection Scheme	F4	78	(420)	498	-	-	-	(724)	126	(849)	645	-	645	1,227	1,832	(605)
West Taiari Drainage	F5	143	(312)	456	-	-	-	(165)	516	(681)	22	-	22	546	1,205	(659)
East Taiari Drainage	F6	48	(364)	413	-	-	-	(48)	349	(398)	-	-	475	853	(378)	
Potomans Drainage	F7	(20)	(97)	77	-	-	-	20	88	(67)	-	-	133	200	(67)	
Shotover River Delta	F8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Scheme Oversight & Bylaws	F9	(313)	(21)	(292)	-	-	-	313	-	(313)	-	-	724	486	239	
Total Flood Protection		(147)	(1,369)	1,201	-	-	-	(1,093)	726	(1,819)	1,241	262	978	5,445	7,086	(1,641)
River Mgt - Dunedin	M1	(204)	100	(104)	-	-	-	104	88	16	-	-	315	298	17	
River Mgt - Clutha	M2	(9)	(107)	98	-	-	-	9	55	(46)	-	-	280	317	(37)	
River Mgt - Central	M3	(43)	(146)	105	-	-	-	41	67	(26)	-	-	283	307	(24)	
River Mgt - Wakatipu	M4	61	(208)	269	-	-	-	(61)	181	(120)	2	-	2	89	331	(242)
River Mgt - Wanaka	M5	54	(152)	206	-	-	-	(54)	85	(139)	-	-	123	235	(112)	
River Mgt - Waitaki	M6	185	(3)	188	-	-	-	(185)	(32)	(153)	-	-	118	268	(150)	
Leith-Waitaki Flood Protection & River Control	M7	(19)	(8)	(12)	-	-	-	19	-	19	-	-	162	142	20	
Non-Scheme Management	M8	(23)	0	(23)	23	-	23	-	-	-	-	-	44	22	22	
Total River Management		103	(724)	827	22	-	22	(127)	444	(571)	2	-	2	1,415	1,921	(506)
Natural Hazards	N1	(228)	(131)	(97)	228	-	228	-	-	-	-	130	(130)	1,037	972	66
Flood & Low Flow Risk Management	N2	131	0	131	(131)	-	(131)	-	-	-	-	-	129	257	(127)	
Climate Change Adaptation	N3	345	(41)	386	(443)	-	(443)	-	-	-	197	41	156	438	820	(382)
Total Climate Change & Hazards		248	(172)	420	(445)	-	(445)	-	-	-	197	172	25	1,605	2,049	(444)
Emergency Management	E1	283	150	133	-	-	-	(292)	(150)	(142)	9	-	9	1,950	2,069	(119)
Total Emergency Management		283	150	133	(445)	-	(445)	(292)	(150)	(142)	9	-	9	1,950	2,069	(119)
Total Safety and Resilience		331	(22)	353	(445)	-	(445)	(292)	(150)	(142)	206	172	93	3,556	4,118	(562)
TRANSPORT																
Regional Land Transport Planning	T1	95	1	96	(95)	-	(95)	-	-	-	-	-	103	305	(202)	
Stock Truck Effluent Disposal	T3	(3)	(0)	(3)	3	-	3	-	-	-	-	-	91	98	(7)	
Public Transport - Dunedin	T4	(1,458)	(1,109)	(349)	-	-	-	1,458	1,175	283	-	-	14,090	15,194	(1,103)	
Public Transport - Wakatipu	T5	(1,330)	(898)	(432)	-	-	-	1,330	905	425	-	-	6,079	7,306	(1,227)	
Public Transport - Other	T6	38	(75)	(113)	(38)	-	(38)	-	76	(76)	-	-	1,329	1,653	(324)	
Total Transport		(2,658)	(2,070)	(588)	(130)	-	(130)	2,788	2,156	632	-	-	21,693	24,556	(2,863)	
Total Transport		(2,658)	(2,070)	(588)	(130)	-	(130)	2,788	2,156	632	-	-	21,693	24,556	(2,863)	
Total Activities		(8,123)	(6,268)	3,146	(1,117)	105	(1,222)	2,262	3,989	(1,788)	2,038	2,182	(144)	63,149	72,366	(9,217)

8.1. Recommendations of the Audit and Risk Subcommittee meeting 24 February 2022

That the Finance Committee:

- 1) **Adopts** the recommendations of the 24 February 2022 Audit and Risk Subcommittee (public).

Report	Resolution	Res#	Mover/ Seconder
Safety and Wellbeing	1. Notes this report	AR22-101	Cr Malcolm / Cr Noone
Legislative Compliance and Mandatory Documents Register	1. Requests tracking of Public Records Act 2005 recordkeeping be included on the Action Register until a new system is implemented.	AR22-102	Cr Calvert / Cr Noone
Legislative Compliance and Mandatory Documents Register	1. Notes the report and asks staff to amend comments on Resource Management Act to incorporate scheduled reviews of the Waste, Coast and Air Plans.	AR22-103	Cr Wilson / Cr Noone
Quarterly Actions Register Update	1. Notes this report.	AR22-104	Cr Calvert / Cr Noone
Audit and Risk Work Program	1. Notes this report. 2. Endorses the proposed work programme for the 2022 calendar year.	AR22-105	Cr Wilson / Cr Malcolm

8.2. Recommendations of the Audit and Risk Subcommittee meeting of 12 May 2022

That the Finance Committee adopts the recommendations of the 12 May 2022 Audit and Risk Subcommittee (public).

Report	Resolution	Res#	Mover/ Seconder
Annual Report Timetable and Matters under Consideration	1. Notes this report	AR22-113	Cr Malcolm / Cr Calvert
Procurement and Contract Management	1. Notes this report and draft Procurement and Contract Mgmt policy.	AR22-114	Cr Wilson / Mr Douglas
Corporate Policy Overview Report	1. Notes this report.	AR22-115	Cr Calvert / Cr Wilson
Safety and Wellbeing Report	1. Notes this report.	AR22-116	Cr Wilson / Cr Malcolm

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under [section 48\(1\)](#) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
<p>Minutes of the 24 February 2022 public-excluded Finance Committee Meeting</p>	<p>To protect information where the making available of the information— would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information – Section 7(2)(b)(ii)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely to prejudice the supply of similar information, or information from the same source, and it is in the public interest that such information should continue to be supplied – Section 7(2)(c)(i)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely otherwise to damage the public interest – Section 7(2)(c)(ii)</p> <p>To avoid prejudice to measures that prevent or mitigate material loss to members of the public – Section 7(2)(e)</p> <p>To maintain legal professional privilege – Section 7(2)(g)</p> <p>To enable any local authority holding the information to carry out, without prejudice or disadvantage, commercial activities – Section 7(2)(h)</p> <p>To enable any local authority holding the information to carry on, without prejudice or disadvantage, negotiations (including commercial</p>	<p>Section 48(1)(a); Subject to subsection (3), a local authority may by resolution exclude the public from the whole or any part of the proceedings of any meeting only on 1 or more of the following grounds:</p> <p>(a) that the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>

	<p>and industrial negotiations) – Section 7(2)(i)</p> <p>To prevent the disclosure or use of official information for improper gain or improper advantage – Section 7(2)(j)</p>	
<p>Adoption of the recommendations of the 24 February 2022 public-excluded Audit and Risk Subcommittee</p>	<p>To protect information where the making available of the information— would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information – Section 7(2)(b)(ii)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely to prejudice the supply of similar information, or information from the same source, and it is in the public interest that such information should continue to be supplied – Section 7(2)(c)(i)</p> <p>To avoid prejudice to measures that prevent or mitigate material loss to members of the public – Section 7(2)(e)</p> <p>To maintain legal professional privilege – Section 7(2)(g)</p> <p>To enable any local authority holding the information to carry out, without prejudice or disadvantage, commercial activities – Section 7(2)(h)</p> <p>To prevent the disclosure or use of official information for improper gain or improper advantage – Section 7(2)(j)</p>	<p>Section 48(1)(a); Subject to subsection (3), a local authority may by resolution exclude the public from the whole or any part of the proceedings of any meeting only on 1 or more of the following grounds:</p> <p>(a) that the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>
<p>Adoption of the recommendations of the 12 May 2022 public-excluded Audit and Risk Subcommittee</p>	<p>To protect information where the making available of the information— would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information – Section 7(2)(b)(ii)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the</p>	<p>Section 48(1)(a); Subject to subsection (3), a local authority may by resolution exclude the public from the whole or any part of the proceedings of any meeting only on 1 or more of the following grounds:</p> <p>(a) that the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good</p>

	<p>making available of the information— would be likely to prejudice the supply of similar information, or information from the same source, and it is in the public interest that such information should continue to be supplied – Section 7(2)(c)(i)</p> <p>To protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information— would be likely otherwise to damage the public interest – Section 7(2)(c)(ii)</p> <p>To avoid prejudice to measures that prevent or mitigate material loss to members of the public – Section 7(2)(e)</p> <p>To maintain legal professional privilege – Section 7(2)(g)</p> <p>To enable any local authority holding the information to carry out, without prejudice or disadvantage, commercial activities – Section 7(2)(h)</p> <p>To enable any local authority holding the information to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations) – Section 7(2)(i)</p>	<p>reason for withholding would exist.</p>
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This resolution is made in reliance on [section 48\(1\)\(a\)](#) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by [section 6](#) or [section 7](#) of that Act or [section 6](#) or [section 7](#) or [section 9](#) of the Official Information Act 1982, as the case may require, which would be prejudiced by the holding of the whole or the relevant part of the proceedings of the meeting in public.